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10/22/2007

Francis Law Group
 1942 Embarcadero
 Oakland, CA 94606

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<u>Ralph Francis</u>	(Depositor's name)
<u>[Signature]</u>	(Signature)
<u>OCTOBER 25, 2007</u>	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/000,005	11/20/2001	Eleanor L. Schuler	0607-1006	7962

TITLE OF INVENTION: DEVICE AND METHOD TO RECORD, STORE AND BROADCAST SPECIFIC BRAIN WAVEFORMS TO MODULATE BODY ORGAN FUNCTIONING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$0	\$0	\$720	01/22/2008
EXAMINER		ART UNIT	CLASS-SUBCLASS	10/30/2007 HNGUYEN2 00000044 10000005		
ALTER, ALYSSA M		3762	600-544000	01 FC:2501 02 FC:8001	720.00 OP 3.00 OP	

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

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- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 1

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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date 10.25.07

Typed or printed name

Registration No. 38,884

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number 10/000,005

Filing Date November 20, 2001

First Named Inventor Eleanor Schuler

Art Unit 3762

Examiner Name A. M. Alter

Attorney Docket Number 0607-1006

ENCLOSURES (Check all that apply)

- | | | |
|---------------------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
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| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Francis Law Group

Signature

Printed name Ralph C. Francis

Date October 25, 2007

Reg. No. 38,884

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Typed or printed name Ralph C. Francis

Date October 25, 2007

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